

# On the mathematical framework in general relativity

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Colloquium for Master and PhD students

Dec 4, 2012

# Outline

- 1 Motivation
- 2 Background
  - Lorentzian geometry
  - General relativity
- 3 Cauchy problem in general relativity
  - Historical overview
  - Initial data constraints
  - Reduced Einstein equations
- 4 Global results and recent developments
- 5 Summary

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# Historical Overview

## Classical mechanics (Newton)

- `static` geometric background
- not accurate on large-scale

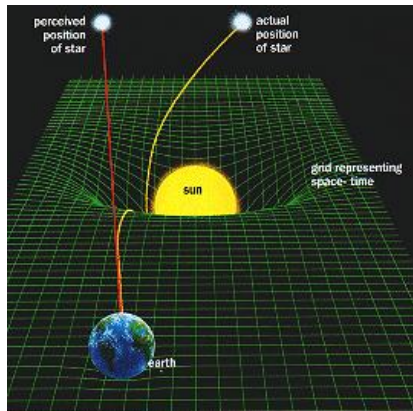
## Einstein's theory of relativity 1915

- `dynamic` "spacetime"
- describes astronomical observations accurately

# Eddington's experiment (deflection of light)

dynamic spacetime

- matter deforms the geometry of spacetime
- the geometry of spacetimes determines how matter moves



# General relativity

## Key idea of general relativity

Gravitation is not a force but a geometric property of space and time.

Description via the Einstein equations.

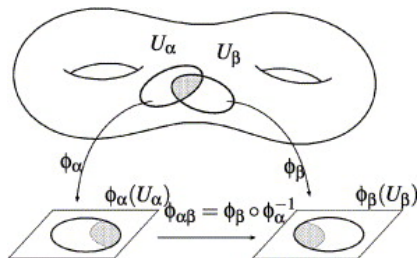
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# Manifolds

**Manifolds**  $\mathcal{M}$  are the main object in differential geometry. They are equipped with

- topology
- local charts
- compatibility

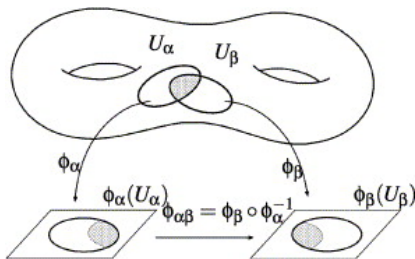




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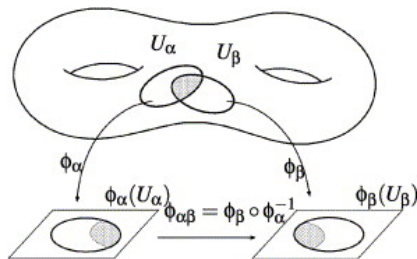


# Manifolds

**Manifolds**  $\mathcal{M}$  are the main object in differential geometry. They are equipped with

- topology
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Examples: open subsets of  $\mathbb{R}^n$ , sphere ...

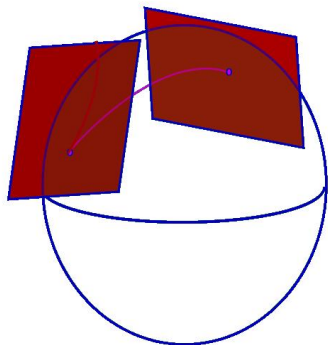


# Tangent space

Let  $p$  be a point of the manifold  $\mathcal{M}$ .

A **tangent space**  $T_p\mathcal{M}$  is a real vector space attached to  $p$ .

The **tangent bundle**  $T\mathcal{M}$  is the disjoint union  $\bigcup T_p\mathcal{M}$  of all tangent spaces.



# Tensor fields

An  $(r, s)$  **tensor field**  $A \in \mathcal{T}_s^r(\mathcal{M})$  on  $\mathcal{M}$  defines a multilinear map

$$A(p): \underbrace{T_p\mathcal{M}^* \times \dots \times T_p\mathcal{M}^*}_{r\text{-times}} \times \underbrace{T_p\mathcal{M} \times \dots \times T_p\mathcal{M}}_{s\text{-times}} \rightarrow \mathbb{R}$$

at each point  $p \in \mathcal{M}$ .

Examples:  $\mathcal{T}_0^1(\mathcal{M})$  are vector fields,  $\mathcal{T}_1^0(\mathcal{M})$  are 1-forms

# Lorentzian metric

We smoothly assign to each point a scalar product on the tangent space:

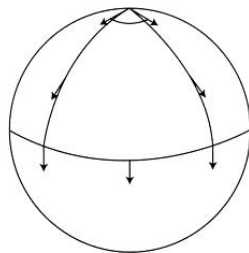
## Lorentzian metric

A Lorentzian metric tensor  $\mathbf{g}$  on a manifold  $\mathcal{M}$  is a symmetric, non-degenerate  $(0,2)$ -tensor field on  $\mathcal{M}$  with constant index 1.

Example on  $\mathbb{R}^{n+1}$ :  $\langle v_p, w_p \rangle = -v^0 w^0 + \sum_{i=1}^n v^i w^i$

## Curvature (short)

- For each Lorentzian manifold there exists the unique Levi-Civita connection  $\nabla$  (covariant derivative).
- Parallel transport is described in terms of covariant derivation.
- Failure of commutativity is a measure for curvature.



# Curvature (exact)

## Definition

Let  $\mathcal{M}$  be a Lorentzian manifold with Levi-Civita connection  $\nabla$ .  
The (1, 3)-tensor field  $\mathbf{R}$ , defined by

$$R_{XY}(Z) := \nabla_{[X, Y]}Z - [\nabla_X, \nabla_Y]Z$$

is called **Riemann curvature tensor**.

# Ricci and scalar curvature

Contractions of Riemannian curvature yield simpler invariants:

## Definition

**Ricci curvature  $\mathbf{Ric}$**  is the  $C^1_3$  contraction of  $\mathbf{R}$ :  $R_{ij} = \sum R^m_{ijm}$

## Definition

**Scalar curvature  $S$**  is the contraction of  $\mathbf{Ric}$ :  $S = \sum g^{ij} R_{ij}$



# Model

The Einstein equations give a relation between the curvature of spacetime and the matter distribution of the universe

- modeled by a 4-dimensional Lorentzian manifold  $(\mathcal{M}, \mathbf{g})$
- gravitation is an effect of the curvature of  $\mathcal{M}$
- matter distribution is given by the energy-momentum tensor  $\mathbf{T}$

# Adaption

Conservation of energy:  $\operatorname{div}\mathbf{T} = 0$

but:  $\operatorname{div}\mathbf{Ric} \neq 0$

## Definition

The **Einstein tensor** of a spacetime is

$$\mathbf{G} = \mathbf{Ric} - \frac{1}{2}Sg.$$

now:  $\operatorname{div}\mathbf{G} = 0$

# Einstein equations

## Einstein equations

$$\mathbf{G} = 8\pi\mathbf{T}$$

General relativity is the study of the solutions of this system of equations – a system of coupled nonlinear partial differential equations.

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# Historical Overview

1915: Einstein introduced his equations

1952: Choquet-Bruhat proved that Einstein equations can be formulated as an initial value problem and showed local existence of solutions

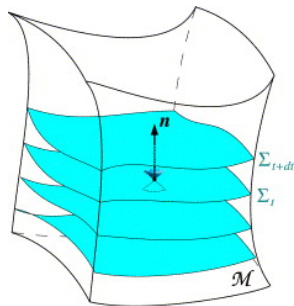
later: improvements on regularity

# Foliation

Initial data should consist of

- a 3-dim. manifold  $\Sigma$
- with a Riemannian metric  $\mathbf{h}$
- and a symmetric tensor field  $\mathbf{K}$  on  $\Sigma$

... but this is not enough!



# Initial data constraints

need compatibility of the curvature in  $\mathcal{M}$  and the curvature in  $\Sigma$   
Gauß and Codazzi equations + (vacuum) Einstein equations  $\rightarrow$

$$S(h) = |\mathbf{K}|_h^2 - (\text{tr}_h \mathbf{K})^2$$
$$\nabla_j K^j_k - \nabla_k K^j_j = 0$$

# Solving the constraint equations

The constraint equations can be decomposed in different ways and transformed to **elliptic equations** and solved.

→ get **initial data** (non-unique!)



# Gauge fixing

By fixing coordinates, the Einstein equations can be simplified:

e.g. harmonic coordinates  $x^\mu$  such that  $\square_{\mathbf{g}} x^\mu = 0$

→ can write (vacuum) Einstein equations as a **system of quasilinear hyperbolic partial differential equations**

$$-\frac{1}{2} \sum_{\alpha, \beta} g^{\alpha\beta} \partial_\alpha \partial_\beta g_{\mu\nu} + F_{\mu\nu}(\mathbf{g}, \partial\mathbf{g}) = 0$$

→ existence result using standard theory

# Local existence

## Theorem

*Suppose  $\mathbf{h}$  and  $\mathbf{K}$  are smooth on  $\Sigma$  and the constraints are satisfied, then the initial value problem has a smooth solution in the neighborhood of  $\Sigma$ .*

generalizations:  $\mathbf{h} \in H_{\text{loc}}^s(\Sigma)$ ,  $\mathbf{K} \in H_{\text{loc}}^{s-1}(\Sigma)$  for  $s > 2$   
(Klainerman–Rodnianski 2005)

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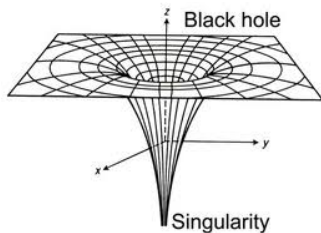
# Global existence?

Can solutions be extended globally?

Intuitively: a singularity is a place where the curvature of spacetime becomes infinite

→ but these points are in fact missing from the solution

→ spacetime is incomplete in some sense



# Singularity theorems

## Theorem (Hawking and Penrose, 1970)

*Spacetime  $(\mathcal{M}, \mathbf{g})$  is not timelike and null geodesically complete if:*

- *$R_{\alpha\beta} V^\alpha V^\beta \geq 0$  for every non-spacelike vector  $\mathbf{V}$*
- *A generic condition for tangent vectors holds.*
- *There are no closed timelike curves.*
- *There exists at least one of the following: a compact achronal set without edges, a closed trapped surface, or a point  $p$  such that null geodesics from  $p$  are focussed by the matter or curvature and start to reconverge.*

## Breakdown criteria

### Theorem (Klainerman and Rodnianski, 2010)

*Let  $(\mathcal{M}, \mathbf{g})$  be a globally hyperbolic development of  $\Sigma$  foliated by the CMC level hypersurfaces of a time function  $t < 0$ , such that  $\Sigma$  corresponds to the level surface  $t = t_0$ . Assume that  $\Sigma$  satisfies the specific metric inequality. Then the first time  $T < 0$ , with respect to the  $t$ -foliation, of a breakdown is characterized by the condition*

$$\limsup_{t \rightarrow T^-} (\|\mathbf{K}(t)\|_{L^\infty} + \|\nabla \log n(t)\|_{L^\infty}) = \infty.$$

*More precisely the spacetime together with the foliation  $\Sigma_t$  can be extended beyond any value  $T < 0$  for which the above value is finite.*

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# Summary

- General relativity describes gravitation in terms of **curvature**.
- Solutions to the Einstein equations with appropriate initial data **exist locally**.
- Global behavior is determined by the formation of **singularities**.



## For Further Reading



S. Hawking and Ellis.

*The large scale structure of space-time.*

Cambridge University Press, 1973.



B. O'Neill.

*Semi-Riemannian Geometry (with applications to relativity).*

Academic Press, 1983.



S. Klainerman and I. Rodnianski.

On the breakdown criterion in general relativity.

*J. Amer. Math. Soc.* 23 (2010), 345-382.